

**IN THE UNITED STATES PATENT AND TRADEMARK  
OFFICE**

APPLICANTS: John Nafeh and Kenton K. Yee

SERIAL NO.: 09/923,035

FILING DATE: August 6, 2001

TITLE: Methods and Apparatus for Formulation, Initial Public or Private  
Offering, and Secondary Market Trading of Risk Management  
Contracts

EXAMINER: Milef, Elda G.

ART UNIT: 3692

CONF. NO.: 8962

ATTY.DKT.NO.: PA3817US

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**RESPONSE TO FINAL OFFICE ACTION FILED WITH REQUEST  
FOR CONTINUED EXAMINATION**

Dear Examiner Milef:

In response to the Final Office Action ("Office Action") of July 1, 2009, please find the following amendments and remarks accompanying Applicants' Request for Continued Examination: